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West Bethesda, MD

ONE-WAY NETWORK TRANSMISSION INTERFACE UNIT

STATEMENT OF GOVERNMENT INTEREST

[0001] The invention described herein may be manufactured and used by or for the Government of the United States of America for governmental purposes without payment of any royalties thereon or therefore.

BACKGROUND OF THE INVENTION

[0002] Information networks that interconnect numerous computational resources have proliferated into all aspects of society. These networks contain vast amounts of information, some of which is sensitive. These networks typically include several host computer systems or servers that are interconnected over a local area network (LANs) to individual workstations and other network resources. Some of the additional network resources may include various data acquisition units (DAUs) or programmable logic controllers (PLCs) that not only provide data, but also are able to control equipment remotely or automatically. As long as these resources stay local there is a controllable amount of risk to the LAN and any equipment that is connected that could be caused by a malfeasant or hacker. The amount of risk posed to sensitive networks increases greatly if these LANs are also interconnected to wide area networks (WANs) that include public networks such as the World Wide Web and the Internet.

29 [0003] There are many different types of hardware, software and
30 communication protocols used in workstations, DAUs, PLCs, and
31 networks. The prevalence of distributed network enterprise systems has
32 created an increased need for computer security. There is a wide range
33 of security measures that may be taken to ensure effective security.
34 Among the most common are intrusion detection systems (IDS), firewalls
35 and antivirus programs that attempt to identify hackers or intruders to
36 systems and prevent any harm to the computer systems. These systems
37 are usually software or a combination of hardware and software that must
38 be configured for specific types of networks and computer systems. For
39 example, the system installed on a UNIX based server would not
40 necessarily be the same or even compatible with a Windows NT based
41 server. It is also imperative that such protections be frequently updated
42 to continue the back and forth battle between hackers and system
43 administrators as no firewall prevents all attacks and is unable to combat
44 some types of attacks such as denial of service attacks.

45 [0004] These types of protections can provide a good level of security and
46 may be enhanced by good security policy and practice, but configuring
47 and maintaining security applications can be extremely complicated, time
48 consuming and resource intensive. Even security applications that were
49 initially excellent often fail as time passes due to failures caused by
50 hardware changes, missing security patches, and more sophisticated
51 hackers. Short of simply not connecting to external computers or
52 networks there is always a risk posed by outside hackers.

53 [0005] Some systems contain such sensitive data or operate critical
54 equipment that the risk posed by hackers is simply too great to allow the
55 system to be connected to external networks even though it would be

56 extremely beneficial and efficient to do so. What is needed is a
57 protection system that would allow the protected system to send data out
58 to external networks, workstations, or other computers but not permit
59 any possible attack from external malefactors.

60 SUMMARY OF THE INVENTION

61 [0006] The present invention provides a sensitive network isolation
62 apparatus that permits data to be sent to a remote computer or network
63 without a return path so that the remote computer or network is not able
64 to compromise the isolated sensitive network. The isolation device
65 spoofs the network so that the network believes it has a fully functional
66 external connection. The invention has a first media converter for
67 receiving data from a workstation on a sensitive network, this data is then
68 passed to a second media converter and then transmitted to a remote
69 computer. An optical signal generator sends signals to the workstation
70 to imitate a standard transmit and receive connection, and also sends
71 signals to the second media converter to imitate a standard transmit and
72 receive connection. No physical connection exists for the remote
73 computer workstation to compromise the sensitive network.

74 [0007] For a better understanding of the present invention, together with
75 other and further objects thereof, reference is made to the following
76 description, taken in conjunction with the accompanying drawings, and
77 its scope will be pointed out in the appended claims.

78 BRIEF DESCRIPTION OF THE DRAWINGS

79 [0008] FIG. 1 is a graphical representation of an example of the present
80 invention illustrating the data paths.

81 [0009] FIG. 2 is a schematic of an example of the present invention.

82 [00010] FIG. 3 is a schematic of an alternative example of the present
83 invention.

84 [00011] FIG. 4 is a schematic of an example of the present invention
85 applied to a control system network.

86 DESCRIPTION OF THE PREFERRED EMBODIMENT

87 [00012] Referring now to the example of FIG. 1, the one-way network
88 isolation box 20, also called the Denbox, lets data flow from the mission
89 critical or sensitive network 10 to an external or remote network 40 or
90 workstation. Because there is no return path for the external network 40
91 to send data or commands back to the sensitive network 10, there is no
92 possibility of disruption on the sensitive network 10. The sensitive
93 network 10 is fooled into thinking that a fully functional datalink is
94 present.

95 [00013] In a basic example of the present invention, shown in FIG. 2, the
96 sensitive workstation 10, part of a larger network, would transmit data 32
97 through the isolation box 20 and out to a remote workstation 40. In
98 order for such transmissions to be sent normally, the sensitive
99 workstation 10 must be fooled into recognizing a connection that does
100 not in fact exist. This is accomplished by having a signal generator 22 to
101 send an idle signal on a data line 34 to the receive port of the
102 workstation 10. The signal generator 22 is preferably an optical idle
103 signal generator that conforms to IEEE 802.3 and transmits either 850 nm
104 or 1300 nm signals to the workstation 10 depending on the Network
105 Interface Card (NIC) used by the workstation. The preferred mode of
106 communication is connectionless protocol such as User Datagram
107 Protocol (UDP) as described in Request For Comments (RFC) 768. The

108 UDP is then encapsulated into an Internet Protocol (IP) datagram pursuant
109 to Request For Comments (RFC) 791 for transmission.

110 [00014] FIG. 3 is a further embodiment of the present invention 20 showing
111 a decoupled transmission path 30 to provide additional isolation to the
112 data line 32 from the sensitive network 10 to the remote network 40.
113 UDP is a connectionless protocol, there is no handshaking or
114 acknowledgment by the receiving device that the information was
115 received provided by the UPD protocol, it is possible for higher-level
116 software applications to perform these tasks if required. The data is
117 simply broadcast out, and if a single package is lost or corrupted in
118 transit it will not be re-transmitted. In operation this would be
119 acceptable, as the next data package would be following within a couple
120 hundred milliseconds. In operation the workstation 10, usually part of a
121 network or control station, transmits data on line 32 to a first media
122 converter 26. The media converter takes an ethernet signal that is being
123 transmitted in one format, for example 10 Base T over copper cabling,
124 into an ethernet signal that is transmitted in a different media, for
125 example 10 Base F over optical fiber. The data packet is then transmitted
126 over a short span of cable 30, such as ten (10) base T or other suitable
127 cable, to a second media converter 28 and then over transmission line
128 36, preferably fiber for better isolation, to a remote workstation 40. This
129 workstation 40 may be a standalone unit or part of a network. In order
130 for the network communications to operate correctly, the protected
131 network 10 must be spoofed to believe there is an active connection.
132 This is accomplished by including an optical idle signal generator 22 that
133 transmits signals over fiber 34 to the network switch of the workstation
134 10 depending on the NIC card used by the workstation. The wavelengths

for the different speeds are specified by IEEE 802.3 10 Base F is 850 nm and 100 Base F is 1300nm; they are not compatible or interchangeable with each other; the Denbox 20 for 10 Base F will use different media converters than the Denbox 20 for 100 Base F. The optical generator 22 is powered by power supply 24, which may be external to the isolation box 20 or contained within. The second media converter 28 must also be spoofed by the signal generator 22 that sends an idle signal over fiber 38 to the receive port of the second media converter 28. One of the features that makes the present invention so useful is that once installed the protected network may send data out and have no risk of outside compromise, and no updates need ever be preformed. Additionally, the Denbox 20 operates independently of the hardware on either side, therefore obviating the need for upgrades in most cases as the Denbox 20 is compatible with any vendors NIC card or any vendors network switch. If the system stays with one format ie 10 Base F, no changes to the Denbox 20 need occur. If the media is changed, for example from 10 base F to 100 base F, then a 100 Base F Denbox 20 would be required.

[00015] EXAMPLE

[00016] Many current Naval Shipboard Control Systems are being designed and installed with environmentally packaged commercial off the shelf (COTS) network switches to provide distributed control data distribution. The networks utilize Data Acquisition Units (DAU), typically PLC and/or VME bus, to interface with machinery and sensors. The DAU's process the raw sensor information via their native microprocessor and software into digital data that can be packaged into an IP datagram and distributed via an Ethernet connection throughout a network. The DAU's are capable of interfacing and processing various inputs including temperature,

162 pressure, level, speed, vibration, position, torque, flow, voltage, current,
163 frequency, and phase. The DAU's also provide the closed loop control of
164 machinery by controlling motorized valves etc.; the DAU's provide the
165 physical connection to machinery and the actual "control" of the
166 machinery is performed by the DAU. The DAU sends the sensor and
167 system status over the network multiple times a second depending on the
168 particular systems being monitored. The processed sensor information is
169 broadcast over a network to various workstations. The workstations
170 provide the control system status to operator personnel to allow them to
171 control and monitor the real-time status of mission critical control
172 systems including main propulsion, electric plant generation and
173 distribution, damage control, navigation and steering, fuel level and
174 transfer, and ballast control. The workstation software receives the data
175 from the DAU over the network, reads the information from the data
176 packet and interprets the data to determine the status that is then
177 presented on various Human-Machine-Interface (HMI) pages on
178 workstations. The information conveyed includes machinery sensor
179 status (processed by the DAU), as well as alarm indications that are
180 contained within the workstation software. The machinery operators also
181 input control commands via the HMI page, which the workstation then
182 sends over the network to the DAU; the DAU then implements the control
183 command.

184 [00017] The network that the DAU's and workstations connect to consists
185 of multiple rugged COTS network switch chassis. The switch chassis
186 provides power supplies, a communications backplane, and slots for
187 various modules including the switch processor modules that contain the
188 operating system and switch configuration software, and network

189 interface modules that provide the interface points to the switch chassis
190 for the DAU's, workstations, and other switches. There are various switch
191 interface modules available from the switch vendors to support most if
192 not all of the available network protocols such as Ethernet, Token Ring,
193 ATM; as well as emerging support for native telephony devices. The
194 switch-to-switch connections (backbone) are typically high speed OC-3c
195 or OC-12c ATM; with gigabit Ethernet emerging as the newest best
196 cost/performance switch-to-switch network protocol. The backbone
197 physical media is either multimode optical fiber for OC-3c installations or
198 singlemode optical fiber for OC-12c or gigabit Ethernet. Each of the
199 mission critical edge devices (DAU/workstation) have two independent
200 connections to separate network switches to prevent loss of control
201 resulting from a single network switch casualty. The DAU and
202 workstation connections are typically Ethernet over multimode optical
203 fiber; current installations include 10 megabit Ethernet over fiber, and
204 100 megabit Ethernet over fiber. There are multiple currently in-service
205 US Navy ships with Hull, Mechanical & Electrical (HM&E) control system
206 networks that utilize the DAU/workstation/COTS switch system
207 architecture described. The DAU's are chassis that have interface cards,
208 Field Transition Modules (FTM), that accept various signal types including
209 voltage, current, frequency etc. The example network consists of 4
210 network switches with a full-mesh OC-12c ATM backbone connecting the
211 switches together. The Data Acquisition Equipment (consisting of two or
212 more DAU's) and workstations have 10-megabit per second Ethernet
213 connections over multimode fiber to two separate network switches. The
214 DAU's and workstations are distributed throughout the ship and control
215 all major HM&E systems on the ship including main propulsion, electric

216 plant generation and distribution including switchboard and shore power
217 interface, paralleling generator etc., damage control including fire and
218 flooding detection, fire pump control; the ship also has an Integrated
219 Bridge System that performs navigation and steering control, and also
220 has an interface to main propulsion control. The information that each
221 DAU monitors is broadcast over the network multiple times each second.
222 Each networked DAU has an IP address that identifies it as the source of
223 the information.

224 [00018] The digital data that each DAU produces is sent via an Ethernet
225 broadcast to every workstation and all other devices including other
226 DAU's that are connected to the network. The digital data is packaged by
227 the DAU software into a UDP datagram per RFC 768. The UDP datagram
228 includes the digital data plus overhead information including 16 bit
229 source and 16 bit destination software "ports", a 16 bit field with the
230 length of the UDP datagram, and a 16 bit checksum. UDP is a
231 connectionless protocol, there is no handshaking or acknowledgment by
232 the receiving device that the information was received provided by the
233 UDP protocol, it is possible for higher level software applications to
234 perform these tasks if the particular application requires it. The data is
235 simply broadcast out, and if a single package is lost or corrupted in
236 transit it will not be re-transmitted. The system architecture is designed
237 to broadcast a complete data package for each DAU multiple times each
238 second so if a single package is lost, another complete set of data will be
239 sent within a couple hundred milliseconds. The UDP datagram is then
240 encapsulated by the system software into an Internet Protocol (IP)
241 datagram per RFC 791. The IP datagram can be broken into two parts,
242 the header and the data. The header includes fields that identify what

243 higher level protocol is being carried (in this case, UDP), the total length
244 of the IP datagram, the length of the IP header (so that the beginning of
245 the data can be identified), various "flags" that are used by network
246 devices to handle the IP datagrams. The header also contains a
247 checksum as well as the source address (the IP address of the DAU) and
248 the destination address. In the Integrated Ship Controls (ISC) system, all
249 of the DAU data transmissions are sent via a broadcast. The IP broadcast
250 destination is the network # with the node identified as all 1's; in the case
251 of ISC which has a class C address, the broadcast address is the
252 network#. 255 which is 1111 1111 in binary. The ISC network address is
253 a non-routable IP address 192.168.1 and the broadcast address is
254 192.168.1.255. The IP datagram is then encapsulated in an Ethernet
255 packet and sent out on the network. All of the System information that is
256 distributed by the ISC DAU's is contained in the 7,169 signals which when
257 multiplied by the bits/signal equals a total of 25,833 bits. The
258 information available on the ISC network includes all of the status of the
259 ships machinery control system as well as the damage control status of
260 the ship and fuel levels etc. This information may be used by external
261 users for condition based maintenance via predictive expert software
262 packages, troubleshooting, that are interested in the engineering data
263 available on the network. The information may be of use to theater and
264 battle group commanders in as much as the data also contains high level
265 insight as to the total "health" capabilities and status of the ship as a
266 whole.

267 [00019] Currently, the ISC control system does not have any external links.
268 External threats to the Control System Network include both directed
269 threats/attacks as well as general threats that may be termed

270 mischievous in nature. Note that an external attack may not necessarily
271 need to "take control" of the system to be effective; a denial of service
272 attack which prevents proper operation of the control system by ships
273 force and prevents them from controlling the ship would be an effective
274 method of interference. This is an unacceptable risk for both
275 operational and political considerations. As can be readily appreciated
276 such systems are so critical that absolutely no hacker risk, however
277 remote, is acceptable.

278 [00020] Network links utilize full duplex communications links. Both a
279 transmit path and receive path exist at both ends of a network link,
280 whether it is over optical fiber or a copper cable such as cat 5, there is a
281 two way path for communications. This allows for two-way
282 communications as well as the handshaking required by connection
283 oriented protocols such as Transmission Control Protocol (TCP).

284 [00021] By using the isolation box of the present invention to transmit from
285 a critical network, that requires strong protection from hackers, to an
286 external network, it is possible to distribute information and yet prevent
287 an attack on the control system or other critical network. The return path
288 would physically not exist from the external network back to the control
289 system, so connection oriented protocols would not be able to use the
290 link, but security would be exceptionally robust. This method would
291 remove external users from the realm of security concerns, it would
292 require an internal user to compromise the system, which is the same
293 threat that exists today with the current internal control system network
294 without external connection. This would improve data distribution
295 without increasing risk to the system and the ship.

296 [00022] Since connection oriented protocols would not work, a
297 connectionless protocol would be used. Though, the protocol is
298 connectionless, so no acknowledgements of packets received goes back
299 and forth, there still has to be a physical connection; the switch or NIC
300 card has to believe that there is something there, or it won't transmit
301 data out.

302 [00023] The ISC control system uses UDP as the native protocol for data
303 distribution throughout the network for control system status. By
304 connecting the protected network 10, as in FIG. 3, from one of the
305 control system network switch chassis, to the isolation box 20 that has
306 external connectivity 40, the information available on the control system
307 network may be effectively and safely transmitted to external activities
308 that may be interested in the data. The UDP broadcast traffic will be
309 provided in it's entirety to the external network. While the broadcast data
310 contains all the machinery plant status information, there is also some
311 information distribution on the system that is provided by a Simple
312 Network Management Protocol (SNMP) application that is not broadcast in
313 nature. In addition, other monitoring systems gather and store some log
314 data via handheld devices that are distributed in a peer-to-peer format
315 that is not broadcast oriented. Simply hooking a single fiber to the
316 network switch and pumping out all broadcast data would not distribute
317 the SNMP data and all of the other available data.

318 [00024] The ISC workstations utilize a VME Bus architecture with a PCI
319 mezzanine card (PMC) network interface port that is available for use.
320 The PMC card has two 10 Base FL interfaces available, one of which is
321 being used; the second port may be connected to a separate network and
322 use the operator station to filter the broadcast traffic that it receives to

remove any unwanted information. The workstation can also act as a proxy for the broadcast to the isolation box 20 by gathering the SNMP data, gathering other monitoring data (periodically), and packaging the SNMP and other data in a UDP format. The compiled data can be sent by a broadcast UDP transmission from the operator station to the network switch and then externally via the isolation box 20 and single fiber 36 transmission. As shown in FIG. 4, the "second" network is actually formed using existing network hardware. A fiber cable may be run from one of the ISC workstations to a network switch that is part of the ISC network. The network switches 12 that are installed as a part of the ISC system have the capability to create Virtual LANs 14 within the switch 12, that is to segment network data based on several available parameters such as type of traffic or physical port. By assigning the unused network interface port on the operator station to a new network address, and connecting the operator station and the isolation box 20 to their own Virtual LAN, the existing hardware can safely and securely transmit all of the data that is of interest on the network, whether it is of a broadcast or unicast nature. The ISC network switches 12 have 10 megabit per second, 10 Base FL, interface ports for workstations and DAU's. The average broadcast traffic on the network is approximately 930,000 bits per second, or an average utilization of about 9% of the existing 10 Base FL links. While this is not an issue for an Ethernet link, external communications links available on most ships are typically less than Ethernet speeds. The off-ship bandwidth available is both limited and used by many different competing applications; therefore the available bandwidth would not be able to handle anything near 930kbits per second. Since the off ship links are intended to distribute status, and not

perform control, the rate of transmission of data can be much lower. A complete snapshot of the machinery control status can be accomplished by transmitting Ethernet packets containing a total of 37,056 bits, composed of one packet from each DAU on the network. The complete status can be updated once every 10 seconds with an available transmission rate of 3,706 bits/second, or once every 30 seconds with an available transmission rate of 1,236 bits/second. The amount of data being transmitted can also be decreased by combining the data from several DAU's into a single Ethernet packet to eliminate transmission overhead. The SNMP, and other connection oriented data that may be transmitted can also be added in and effectively transmitted via the available external communications links by managing the update rates.

[00025] While there have been described what are believed to be the preferred embodiments of the present invention, those skilled in the art will recognize that other and further changes and modifications may be made thereto without departing from the spirit of the invention, and it is intended to claim all such changes and modifications that fall within the true scope of the invention.

[00026] What is claimed is: